

THE HONORABLE ROBERT J. BRYAN

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Robert Lance Posner

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT TACOMA

BURRELL MARSH ALLEN and HELEN)
ALLEN AS TRUSTEES OF THE ALLEN)
LIVING TRUST,)
)
Plaintiffs,)
)
v.)
)
RBC DAIN RAUSCHER INC., ROBERT)
LANCE POSNER,)
)
Defendants.)
_____)

No. CV 06-5163 RJB

ORDER AND JUDGMENT
CONFIRMING AWARD AND
DIRECTING EXPUNGEMENT OF
CRD RECORDS

[Clerk's Action Required]

I. HEARING


The application by Defendants for confirmation of the Award and entry of judgment came on for hearing before the above Court.

The Court considered the pleadings on file, including the Award, which is attached hereto and was attached as Exhibit A to the Declaration of Julie K. Thrall in Support of Motion to Confirm Arbitration Award and Enter Judgment.

The Court confirms the Award reached by the NASD Dispute Resolution arbitration panel in the proceeding between the above named parties, Case No. 05-03363, which is incorporated herein by reference, and enters judgment as follows:

In accordance with The Panel's Award, all references to arbitration matter No. 05-03363 are to be expunged from the CRD records of Defendant Robert Posner. Upon entry of this judgment the Central Registration Depository will expunge all reference to this arbitration, Case No. 05-03363, in the records of Robert Posner, including all references to the claims and allegations therein.

DATED this 14th day of November 2006.


Robert J. Bryan
United States District Judge

Presented by:

LANE POWELL PC

By s/Julie K. Thrall
Julie K. Thrall, WSBA No. 27240
Attorneys for Defendants
RBC Dain Rauscher Inc.
Robert Posner

CERTIFICATE OF SERVICE

Pursuant to RCW 9.A.72.085, the undersigned certifies under penalty of perjury under the laws of the State of Washington, that on the 14th day of November 2006, the document attached hereto was presented to the Clerk of the Court for filing and uploading to the CM/ECF system. In accordance with their ECF registration agreement and the Court's rules, the Clerk of the Court will send e-mail notification of such filing to the following persons:

Ari Y. Brown
Bergman & Frockt
705 Second Avenue, Suite 1601
Seattle, WA 98104

Dated this 14th day of November 2006.

s/Patricia Stockwell
Patricia Stockwell